

WORK PROGRAMME – AUDIT AND GOVERNANCE COMMITTEE

DIRECTORS	<ul style="list-style-type: none"> • Duncan Sharkey (Managing Director) • Adele Taylor (Director of Resources)
LINK OFFICERS & HEADS OF SERVICES	<ul style="list-style-type: none"> • Catherine Hickman (Lead Specialist Audit and Investigation) • Steve Mappley (Insurance and Risk Manager) • Ruth Watkins (Chief Accountant) • Andrew Vallance (Head of Finance) • Karen Shepherd (Head of Governance)

MEETING: 9th NOVEMBER 2020

ITEM	RESPONSIBLE OFFICER
Audited Annual Statement of Accounts	Andrew Vallance , <i>Head of Finance</i>
External Audit IAS260	Andrew Vallance , <i>Head of Finance</i>
Annual Governance Statement	Duncan Sharkey , <i>Managing Director</i>
Key Risk Report	Steve Mappley , <i>Insurance and Risk Manager</i>
2020/21 Interim Audit and Investigation Progress Report (to 30 September 2020)	Catherine Hickman , <i>Lead Specialist Audit and Investigation</i>
Effectiveness of Audit Committees	Catherine Hickman , <i>Lead Specialist Audit and Investigation</i>
Fraud Policies Refresh	Catherine Hickman , <i>Lead Specialist Audit and Investigation</i>
Work Programme	Panel Clerk

MEETING: 16th FEBRUARY 2021

ITEM	RESPONSIBLE OFFICER
Key Risk Report	Steve Mappley , <i>Insurance and Risk Manager</i>
Work Programme	Panel clerk

ITEMS SUGGESTED BUT NOT YET PROGRAMMED

ITEM	RESPONSIBLE OFFICER
2020/21 Annual Audit and Investigation Report	Catherine Hickman , <i>Lead Specialist Audit and Investigation</i>